## A Call For On-ramping Of Prequalification Applicants to The 2020 Prequalification List

- 1. The U.S. Army Corps of Engineers, Far East District (FED) invites Republic of Korea (ROK) firms who are interested in performing construction work for FED or the ROK Ministry of National Defense (MND) under its ROK Funded Construction (ROKFC) In-Kind program to submit applications for consideration to be prequalified to receive requests for proposals and bids for construction contracts. Firms currently included on the 2020 FED prequalification list need not reapply during this prequalification on-ramping\* procedure. This prequalification action is taken under the authority of the U.S. Department of Defense Federal Acquisition Regulation Supplement (DFARS). Subpart 236.272.
- \*The term, "on-ramp" "on-ramped" or "on-ramping" means to add a firm to an existing group or list in this case the list of ROK contractors who were prequalified during FED's 2019 prequalification procedure.
- 2. Current inclusion on the FED prequalification list is a prerequisite for Korean firms to participate in and be considered for award in response to construction solicitations released by FED for U.S. funded construction projects; for Yongsan Relocation Plan (YRP) and Land Partnership Plan (LPP) funded construction projects; and for ROKFC, In-Kind construction projects solicited and awarded by the ROK MND Defense Installations Agency (MND-DIA).
- 3. The prequalification status of a firm on-ramped pursuant to this announcement shall be good from the date the prequalification determination is made until 8 February 2025. In accordance with the 2019 announcement, additional Korean firms may be prequalified during the 24-month period from 8 February 2020. However, firms may be removed from prequalification status during that same period at their request or for good cause, which may include being listed as suspended or debarred by the U.S. Government; a firm's bankruptcy or insolvency; or its sustained poor performance on more than one project.
- 4. The FED anticipates projects similar to the following projects will be solicited and awarded to prequalified Korean firms. The list does not include all projects that may be awarded and some of the projects may not be awarded.

**Anticipated FED Construction Contract Solicitations and Awards – (List is not all inclusive)** 

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Project Name	Projected Award Year	Project Value (Between)
Kelly Gym Renovation	2021	\$5,000,000 - \$10,000,000
Repair Lodging Facility	2021	\$5,000,000 - \$10,000,00
Repair Swimming Pool	2021	\$1,000,000 - \$5,000,000
Golf Pro Shop Renovation	2021	\$500,000 - \$1,000,000
East Campus Fitness Center	2021	\$1,000,000 - \$5,000,000
Warehouse Renovation Daegu	2021	\$1,000,000 - \$5,000,000
ECIP Colling/Heating Daegu	2022	\$1,000,000 - \$5,000,000
ECIP Photovoltaic System	2022	\$10,000,00 - \$25,000,00
Korea Air Operations Center	2023	unknown
Daegu Elementary School	2024	\$25,000,00 - \$100,000,00
Osan Middle/High School	2024	\$10,000,00 - \$25,000,00
Ambulatory Care Center Alt	2025	\$10,000,00 - \$25,000,00

5. The documentation described below must be provided to the FED in order to successfully apply for prequalification. Firms that have previously applied for prequalification with the FED, but were not selected, may resubmit previously submitted information, but it must be updated and current, as described below. Firms that have not previously applied for prequalification must provide all of the below described documentation.

### 6. Prequalification Criteria.

a. <u>Financial Capability</u>: The firm (hereinafter, "the Applicant") must receive a financial capability audit rating by the Defense Contract Management Agency (DCMA) of "Moderate" or "Low" Risk. The Applicant must provide its most recent 2 years of financial data to DCMA.

### b. Construction Experience.

The Applicant must, as the prime contractor, have completed at least one project that has been designed and constructed in accordance with U.S. Department of Defense (DoD) United Facilities Criteria (UFC).

- (1) The project must have been completed within five (5) years prior to the date of this announcement. A project that is currently ongoing and for which all work activities are at least 50% complete may qualify as well.
- (2) The project must have been completed under contract with a U.S. Government requiring agency in Korea or elsewhere; or under contract with the ROK MND-DIA or one of the Korean agencies awarding YRP/LPP contracts.
- (3) Acceptable project examples may include U.S. MILCON funded construction, U.S. Sustainment Repair and Maintenance (SRM), O&M funded construction, YRP/LPP or ROKFC In-Kind construction awarded by either the FED, the ROK MND-DIA or one of the Korean agencies awarding YRP/LPP contracts.

## c. Past Performance:

The Applicant must have an acceptable history of past performance, as prime contractor, for construction projects performed under contract with U.S. Government requiring agencies in Korea or elsewhere.

(1) Projects evaluated for past performance must have been completed within the past five years prior to the date of this announcement. A project that is currently ongoing and for which all work activities are at least 50% complete may qualify as well.

## (2) To be rated "Acceptable":

- (a) The Applicant must not have received more than six (6) evaluated CPARS factors with ratings of less than "Satisfactory" across the Applicant's CPARS on completed or ongoing projects with the FED or another DoD requiring agency in Korea during the past five (5) years prior to the date of submission of the application.
  - (b) Applicants with no CPARS ratings; but which have FED Quarterly Performance ratings for completed or ongoing projects under YRP/LPP or ROKFC In-Kind

projects must have an average rating over the past five (5) years—or as far back as recorded, if not five (5) years—of 4.0 or higher.

- (c) Applicants with both CPARS ratings and FED Quarterly Performance ratings must meet both criteria stated in paragraph 5.c.(2), (a) and (b) above.
- (d) Applicants whose past performance records are, by no cause of their own, not available or so sparse that no meaningful past performance ratings can be reasonably assigned, will not be evaluated favorably or unfavorably. Their past performance records will be rated "Neutral," and considered "Acceptable."
- d. <u>Offshore Procurement Capability</u>. The Applicant must receive an "Acceptable" rating pertaining to, as a prime contractor, its understanding of the process of ordering, and its capability to timely deliver, offshore materials to FED, YRP/LPP or ROKFC In-Kind construction projects. To receive an "Acceptable" rating:
- (1) The Applicant must provide evidence that, as the prime contractor, of ordering and delivering offshore construction materials to a FED, other DoD, YRP/LPP or ROKFC, In-Kind construction project in the ROK. Projects may be Design-Build, Design-Bid-Build or job order (JOC).
- (2) The example project must have been completed within the past five years prior to the date of this announcement. A project that is currently ongoing and for which all work activities are at least 50% complete may qualify as well.
- (3) The Applicant must demonstrate that off-shore materials were timely ordered and delivered (or if delivered late, due to no fault of its own); and that orders accurately encompassed all construction materials that were required under the project.
  - e. Current registration with Construction Association of Korea (CAK).
    - (1) The Applicant must be currently listed on the CAK website.
    - (2) The Applicant's listing must indicate its minimum capacity.
- (3) The listing must indicate that the Applicant possesses at least ₩10,000,000,000 of combined civil engineering and construction capacity.
  - f. Beta System for Award Management (beta.SAM) registration.

The Applicant must be currently registered in the U.S. Government's Beta SAM database as of the date of its application. It must have electronically completed all current, annual representations and certifications at the beta.SAM website.

### 7. Submission requirements.

An Applicant applying for initial prequalification must submit the following documentation. Contractors on the existing prequalification need not reapply as a revalidation to stay on the list will be made utilizing past performance during the last 12 months. Failure to provide the required documentation may prevent the Applicant from being evaluated for prequalification. All submitted documentation must be in the English language or accompanied by a complete

English translation or it may be rejected or not considered.

- a. <u>Financial Capability</u>. The Applicant shall submit:
  - (1) Externally prepared audit reports for its 2 most recent financial years.
    - (a) The auditor or audit firm must be independent of the Applicant's firm.
- (b) The auditor must be registered in the ROK as doing business as an accounting/audit firm.
- (c) The reports must be signed by an authorized official of the auditor, with sufficient contact information provided for possible follow up questions by the Government.
  - (2) A 12-month Cash Flow projection.
- (a) The projection must begin at the date of the Applicant's most recent financial statement.
- (b) The projection must be prepared by a licensed accountant or accounting firm, independent from the Applicant.
  - (3) An 18-month sales forecast.
- (a) The forecast must begin from the date of the Applicant's most recent financial statement.
- (b) The forecast must be prepared and certified as true and accurate, to the best of his/her belief, by the Applicant's chief financial officer.
  - (4) Bank (or other financial institution) line of credit statement.
    - (a) More than one statement may be submitted.
- (b) The statement must state on its face that it was prepared specifically for the prequalification application and be signed and dated within one month prior to the date of submission of the prequalification application.
- (c) The statement must be printed on the bank's (or other financial institution's) letterhead, be addressed to "U.S. Army Engineer District, Far East," identify the Applicant, and be signed and sealed by an appropriate bank official, authorized to make such statements. Contact information for the bank official must be included.
- (d) The statement shall include a description of the maximum credit limit available to the Applicant; all current outstanding liabilities owed to the bank (or other financial institution); and any restrictions on the Applicant's ability to borrow funds from the bank (or other financial institution).
- (5) Any additional written information that may help describe the Applicant's current financial condition.

#### b. Construction Experience.

- (1) The Applicant may submit documentation for up to five (5) projects in support of this requirement.
  - (2) For each project submitted, provide:
- (a) The project's award documentation (for example, the SF 1442, DD Form 1155, etc.) identifying the awarding agency; the award date; the value of the project at time of completion, (or at the 50% stage) if different than the award amount; and the scope of the project work. Do not include either the project's drawings, specifications or bill of materials.
- (b) Documentation identifying the date the project was completed. If not complete, a statement from the project's owner attesting that the project is at least 50% complete (at least 50% of all work activities have been completed) as of the date of the application.
- (c) A simple narrative statement explaining how each project submitted meets the requirement that the project was designed and constructed in accordance with U.S. DoD UFC standards.

### c. Past Performance. The Applicant shall submit:

- (1) Contractor Performance Assessment Reporting System (CPARS) evaluations for all projects submitted to demonstrate construction experience. If the Applicant does not know how to obtain a CPARS evaluation, contact the FED for instructions how to obtain it.
- (2) A list of all other U.S. Government awarded construction projects completed on U.S. installations in Korea within the past five (5) years (or ongoing and at least 50% completed) for which the Applicant believes that it has received a CPARS evaluation or a FED Quarterly Performance rating. Do not submit past performance documentation for these projects: the FED will check CPARS and Quarterly Performance rating data bases for these projects.
- (3) Past performance documentation for construction contracts completed within the past five (5) years for the U.S. Government for which no CPARS evaluation or a FED Quarterly Performance rating is available.
- (4) The FED may obtain past performance information from other sources available to the Government, such as the Defense Contract Management Agency, and interviews with Program Managers, Contracting Officers, and Fee Determining Officials.
- d. <u>Offshore Procurement Capability</u>. The Applicant shall provide a statement that contains:
  - (1) An explanation of its offshore procurement process.
- (2) How the Applicant conducts ordering of long lead items from the United States or other countries.
  - (3) Specific examples of prior construction projects that required offshore material

acquisition and what was ordered.

- (4) A list of existing suppliers in the United States from whom the Applicant has obtained offshore materials within the past five (5) years prior to the date of the application.
- (5) Identification/description of key documents the Applicant uses to ensure that offshore materials are timely delivered to its job sites in Korea.
  - e. Capacity and registration on the Construction Association of Korea (CAK) website.

The Applicant shall provide a copy of the CAK website page on which it is documented that it is registered CAK. A PDF copy is acceptable. The documentation must include information about its business capacity, expressed in Korean Won. Reproduction of the Applicant's registration information at <a href="http://www.cak.or.kr">http://www.cak.or.kr</a> may be used. All information on the copy of the webpage must be translated into English.

## f. Beta System for Award Management (beta.SAM).

- (1) Prior to submitting its application, the Applicant must have registered with Beta SAM, a U.S. Government website that allows businesses to register to do business with it. The Applicant must provide a copy of the webpage that shows it is currently registered. A PDF copy is acceptable.
- (2) To register with beta.SAM, go to the website address of: <a href="https://beta.sam.gov/">https://beta.sam.gov/</a>. Follow the instructions for registering. A firm that seeks to register with beta.SAM should start immediately, to give it as much time as possible, as the registration process can be complex.

#### 8. Submission instructions.

- a. All documents must be submitted in the English language. Copies of original Korean language documents must be accompanied by an English language translation with certification that the translation is accurate.
- b. Application documents must be in PDF format except for spreadsheets. Submit one PDF file with all documents included and bookmarked for ease of navigation.
  - c. Information in applications must be arranged in the same order as indicated in paragraph
- d. Submit the prequalification application under cover of a letter, signed by an official, authorized to bind the Applicant to a contract with the U.S. Government. Include contact information for all Applicant officials who may be contacted for more information or to explain aspects of the Application.
- e. The application must contain all documentation required by this Call for Applications. Applications missing required documents may be rejected.
- f. Submit all applications online via DoD Secure Access File Exchange ("DoD SAFE") utilizing the following website: https://safe.apps.mil/. Proposals submitted by any other means will be rejected. The following instructions apply:
  - (1) Within 14 days of the application due date in the Call for Applications, Applicants should

send an email to TokKyong.Kim@usace.army.mil requesting to receive an URL of DoD SAFE, with a Claim Password. Using the provided the URL for DoD SAFE, Applicants may gain access to the DoD SAFE in order to upload their proposals. Failure to timely request a DoD SAFE Claim Password may result in the Applicant's application not being received by the U.S. Government by the closing date and time for receipt of applications, stated herein.

(2) The "Short Note to the Receipts" window shall include the solicitation number for this Prequalification procedure: "W912UM20R9998," and the Applicant's name in the following format: "W912UM20R9998 / Applicant firm's name". The "Recipient" information shall contain the following names and e-mail addresses:

FED Contracting Division — pof-ct-proposals@usace.army.mil

Ms. Tok Kyong Kim — TokKyong.Kim@usace.army.mil.

- (3) Size Limitations on Uploads: Being able to upload files larger than 2 GB depends on the browser being used. If at all possible, use a modern 64-bit browser on a 64-bit operating system. If you only have a 32-bit system (the most common cause is Windows 7), then use a modern version of Google Chrome or Firefox. Older versions of Microsoft Internet Explorer are particularly bad at uploading larger files. If updating your browser is not an option and the combined size of all files being uploaded exceeds 2 GB, split the files into smaller pieces (e.g. using the Split option in WinZip or "Split to volumes" option in 7-Zip) and upload them in separate drop-offs. The DoD SAFE software itself has configurable limits on the amount of data that can be uploaded in a single drop-off. Even for browsers that support uploads larger than 2 GB, a drop off may not exceed 8.0 GB per file, or 8.0 GB total for the entire drop-off.
  - g. Restriction on disclosure and use of data.
- (1) Applicants that include in their applications data that they do not want disclosed to the public for any purpose, or used by the Government except for prequalification evaluation purposes, shall:
  - (a) Include the following statement on the cover page:

This application includes data that shall not be disclosed outside the Government and shall not be duplicated, used, or disclosed--in whole or in part--for any purpose other than to evaluate this application. If, however, the Applicant's application is approved as a result of—or in connection with—the submission of this data, the Government shall have the right to duplicate, use, or disclose the data to the extent appropriate and necessary during solicitation and award of contracts to the Applicant. This restriction does not limit the Government's right to use information contained in this data if it is available from another source without restriction. The data subject to this restriction are reproduced on pages [numbers or other identification of pages].

(b) Mark each page containing data the Applicant wishes to restrict with the following legend:

Use or disclosure of data contained on this page is subject to the restriction on the title page of this application.

- h. Closing deadline for applications: September 3, 2020, 5:00 p.m., Korea Standard Time (KST).
- i. Timely submission of applications. It is the responsibility of the Applicant to ensure that its Application documents are completely uploaded to the Government's DoD SAFE website prior to the Call for Applications closing date and time. The following applies:
- (1) Applicants must submit their applications, and any modifications, or revisions, to the address designated in paragraph h. by the date and time specified in paragraph i. above. After the date and time for submission of applications has passed, Applicants may only submit modifications to their applications in response to the FED's request for modified applications. Applications may be withdrawn at any time; but may not be resubmitted after that date and time.
- (2) Any application, modification, or revision received by the FED after the exact time specified for receipt of applications in paragraph i. above is "late" and will not be considered unless it is received before the prequalification determination is made, the Contracting Officer determines that accepting the late application would not unduly delay the prequalification determination; and:
- (a) If, as required, it was transmitted through the DoD SAFE process described in paragraph g. above, it was received at the initial point of entry to the Government's DoD SAFE infrastructure not later than 5:00 p.m., KST, one working day prior to the date specified for receipt of applications; or
- (b) There is acceptable evidence to establish that it was received at the FED website designated for receipt of applications and was under the Government's control prior to the time set for receipt of applications; or
  - (c) It is the only application received.
- (3) Acceptable evidence to establish the time of receipt by the FED at its website includes the electronic notice by the website that the electronic document's upload was completed by the time/date for submission of applications, or verification by Government personnel.
- (4) If an emergency or unanticipated event interrupts normal Government business processes so that applications cannot be received at the address designated for receipt of applications by the exact time specified in this Call for Applications, and conditions preclude amendment of the Call, the time specified for receipt of applications will be deemed to be extended to the same time of day specified in the Call on the first work day on which normal Government processes resume.

# 9. Pre-application communications.

- a. Applicants shall submit all inquiries and questions concerning this Call for Applications via Bidder Inquiry in ProjNet at www.projnet.org/projnet. The FED will not respond to an inquiry submitted in any other format or by any other means.
- b. To submit and review application inquiry items, Applicants will need to be a current registered user of the system, and if not registered, must self-register into the system. To self-register, go to <a href="https://www.projnet.org/projnet.org

select as the agency, "USACE", and enter the Bidder Inquiry Key for this Call for Applications, listed below, your firm's e-mail address, and then click "login". Fill in all required information and click "create user". Verify that the information on the next screen is correct and click "continue".

- c. From this page, you may view all Applicant inquiries or add a new inquiry. Applicants should select "FOR OFFICIAL USE ONLY (FOUO)" in the comment classification window when creating an inquiry.
- d. Applicants will receive an acknowledgement of their questions via e-mail, followed by an answer to their questions after they have been processed by the FED.
- e. Applicants shall only submit one question per inquiry. The FED will only answer the first question asked in inquiries containing multiple questions.
  - f. The Bidder Inquiry Key is G55B6M-253QBG.
- g. The Bidder Inquiry System will be unavailable for new inquiries 10 calendar days prior the date and time for receipt of applications as specified in paragraph 7.i. in order to ensure adequate time is allotted to form an appropriate response to inquiries and amend the Call for Applications if necessary. Please review the Bidder Inquiry System for answers to existing questions prior to submission of a new inquiry.
- h. Technical support for problems accessing ProjNet. The ProjNet call center operates weekdays from 8:00 a.m. to 5:00 p.m., U.S. Central Time Zone (Chicago) or by appointment for OCONUS. The telephone number for the Call Center is 1-800-428-HELP. E-mail to <a href="mailto:staff@rcesupport.com">staff@rcesupport.com</a>.